

Vitale Lucas  
Form 3  
January 09, 2019

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

|   |         |                                      |  |  |
|---|---------|--------------------------------------|--|--|
| 1. Name and Address of Reporting Person * |         | 2. Date of Event Requiring Statement | 3. Issuer Name <b>and</b> Ticker or Trading Symbol   |  |
| Â Vitale Lucas                            |         | (Month/Day/Year)                     | NUVASIVE INC [NUVA]  |  |
| (Last)                                    | (First) | 01/01/2019                           |  |  |
| 7475 LUSK BLVD.                           |         |                                      | 4. Relationship of Reporting Person(s) to Issuer   |  |
| (Street)                                  |         |                                      | (Check all applicable)   |  |
| SAN DIEGO,Â CAÂ 92121                     |         |                                      | <input type="checkbox"/> Director <input type="checkbox"/> 10% Owner<br><input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other<br>(give title below)    (specify below)<br>Chief Human Resources Officer |  |
| (City)                                    | (State) | (Zip)                                | 5. If Amendment, Date Original Filed(Month/Day/Year)   |  |
|   |         |                                      | 6. Individual or Joint/Group Filing(Check Applicable Line)   |  |
|   |         |                                      | <input checked="" type="checkbox"/> Form filed by One Reporting Person<br><input type="checkbox"/> Form filed by More than One Reporting Person  |  |

**Table I - Non-Derivative Securities Beneficially Owned**

| 1. Title of Security<br>(Instr. 4) | 2. Amount of Securities Beneficially Owned<br>(Instr. 4) | 3. Ownership Form:<br>Direct (D)<br>or Indirect (I)<br>(Instr. 5) | 4. Nature of Indirect Beneficial Ownership<br>(Instr. 5) |
|------------------------------------|--|---|--|
| Common Stock                       | 2,374 <sup>(1)</sup>                                     | D   | Â  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivative Security<br>(Instr. 4) | 2. Date Exercisable and Expiration Date<br>(Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security<br>(Instr. 4) | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security:<br>Direct (D)<br>or Indirect | 6. Nature of Indirect Beneficial Ownership<br>(Instr. 5) |
|---|---|--|--|--|--|
|   | Date Exercisable  | Title  | Amount or Number of                                    |  |  |

## Edgar Filing: Vitale Lucas - Form 3

|                 |              |              |              | Shares |      | (I)<br>(Instr. 5) |   |
|-----------------|--------------|--------------|--------------|--------|------|-------------------|---|
| RSUs <u>(2)</u> | Â <u>(3)</u> | Â <u>(3)</u> | Common Stock | 731    | \$ 0 | D                 | Â |
| RSUs <u>(2)</u> | Â <u>(4)</u> | Â <u>(4)</u> | Common Stock | 3,001  | \$ 0 | D                 | Â |
| RSUs <u>(2)</u> | Â <u>(5)</u> | Â <u>(5)</u> | Common Stock | 1,652  | \$ 0 | D                 | Â |
| RSUs <u>(2)</u> | Â <u>(6)</u> | Â <u>(6)</u> | Common Stock | 3,054  | \$ 0 | D                 | Â |
| RSUs <u>(2)</u> | Â <u>(7)</u> | Â <u>(7)</u> | Common Stock | 386    | \$ 0 | D                 | Â |

## Reporting Owners

| Reporting Owner Name / Address                         | Relationships |           |                                 |       |
|--|---------------|-----------|---------------------------------|-------|
|  | Director      | 10% Owner | Officer                         | Other |
| Vitale Lucas<br>7475 LUSK BLVD.<br>SAN DIEGO, CA 92121 | Â             | Â         | Â Chief Human Resources Officer | Â     |

## Signatures

/s/ Nathaniel B. Sisitsky, Attorney-in-Fact for Lucas Vitale 01/09/2019

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares directly held by the Reporting Person. The Reporting Person also holds conditional rights to receive shares pursuant to Company equity awards as disclosed on this Form 3.
  - (2) Each Restricted Stock Unit ("RSU") represents the right to receive one share of the Issuer's common stock upon vesting.  
This RSU award was granted to the Reporting Person on February 17, 2015. In accordance with the terms of the RSU award, the award vested as to 732 shares on each of February 1, 2016, February 1, 2017 and February 1, 2018 and will vest as to 731 shares on February 1, 2019.
  - (3) This RSU award was granted to the Reporting Person on March 1, 2016. In accordance with the terms of the RSU award, the award will vest as to 3,001 shares on March 1, 2019.
  - (4) This RSU award was granted to the Reporting Person on March 1, 2017. In accordance with the terms of the RSU award, the award will vest as to 1,652 shares on March 1, 2020.
  - (5) This RSU award was granted to the Reporting Person on April 30, 2018. In accordance with the terms of the RSU award, the award will vest as to 3,054 shares on April 30, 2021.
  - (6) This RSU award was granted to the Reporting Person on August 1, 2018. In accordance with the terms of the RSU award, the award will vest as to 386 shares on August 1, 2020.
  - (7)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.