#### COLLECTORS UNIVERSE INC

Form 4

August 26, 2014

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB 33

**OMB APPROVAL** 

Number:

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Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(City)

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading DEUSTER ROBERT G Issuer Symbol **COLLECTORS UNIVERSE INC** (Check all applicable) [CLCT] (Last) (First) (Middle) 3. Date of Earliest Transaction \_X\_\_ Director 10% Owner X\_ Officer (give title Other (specify (Month/Day/Year) below) COLLECTORS UNIVERSE, 08/22/2014 Chief Executive Officer INC., P.O. BOX 6280 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line)

NEWPORT BEACH, CA 92658

(State)

(Zip)

\_X\_ Form filed by One Reporting Person \_\_\_\_ Form filed by More than One Reporting

Person

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| (Chij)                               | (State)                                 | Tabl  | e I - Non-L                            | Derivative S                               | Securi | ties Acqu   | iired, Disposed of   | , or Beneficiall   | y Owned   |
|--------------------------------------|---|---|--|--|--------|-------------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transactio<br>Code<br>(Instr. 8) | 4. Securit<br>on(A) or Dis<br>(Instr. 3, 4 | sposed | of (D)      | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
| Common<br>Stock                      | 08/22/2014                              |   | Code $V$ $A_{\underline{(1)}}$         | Amount 25,000 (1)                          | (D)    | Price \$ 0  | (Instr. 3 and 4)<br>68,339   | D  |   |
| Common<br>Stock                      | 08/22/2014                              |   | F(2)                                   | 8,488<br>(2)                               | D      | \$<br>20.53 | 59,851   | D  |   |
| Common<br>Stock                      | 08/22/2014                              |   | A(3)                                   | 25,000<br>(3)                              | A      | \$0         | 84,851   | D  |   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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## displays a currently valid OMB control

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.          | 5.          | 6. Date Exerc | cisable and | 7. Title | e and    | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|-------------|-------------|---------------|-------------|----------|----------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transaction | orNumber    | Expiration D  | ate         | Amou     | nt of    | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code        | of          | (Month/Day/   | Year)       | Under    | lying    | Security    | Secui  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8)  | Derivative  | e             |             | Securi   | ties     | (Instr. 5)  | Bene   |
|             | Derivative  |                     |                    |             | Securities  |               |             | (Instr.  | 3 and 4) |             | Own    |
|             | Security    |                     |                    |             | Acquired    |               |             |          |          |             | Follo  |
|             | ·           |                     |                    |             | (A) or      |               |             |          |          |             | Repo   |
|             |             |                     |                    |             | Disposed    |               |             |          |          |             | Trans  |
|             |             |                     |                    |             | of (D)      |               |             |          |          |             | (Instr |
|             |             |                     |                    |             | (Instr. 3,  |               |             |          |          |             |        |
|             |             |                     |                    |             | 4, and 5)   |               |             |          |          |             |        |
|             |             |                     |                    |             |             |               |             |          |          |             |        |
|             |             |                     |                    |             |             |               |             |          | Amount   |             |        |
|             |             |                     |                    |             |             | Date          | Expiration  |          | or       |             |        |
|             |             |                     |                    |             |             | Exercisable   | Date        |          | Number   |             |        |
|             |             |                     |                    |             | <del></del> |               |             |          | of       |             |        |
|             |             |                     |                    | Code V      | (A) (D)     |               |             |          | Shares   |             |        |

## **Reporting Owners**

| Reporting Owner Name / Address | Keiationsnips |
|--------------------------------|---------------|
|--------------------------------|---------------|

X

Director 10% Owner Officer Other

Chief Executive Officer

DEUSTER ROBERT G COLLECTORS UNIVERSE, INC.

P.O. BOX 6280

NEWPORT BEACH, CA 92658

**Signatures** 

/s/ Robert G 08/26/2014 Deuster

\*\*Signature of Date Reporting Person

### **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Acquired on vesting of performance rights granted under the Company's Equity Incentive Plan adopted in accordance with Rule 16(b)(3) (1) under the Securities Exchange Act of 1934.
- (2) Vested shares withheld to pay withholding taxes.
- Restricted shares under the above-referenced Equity Incentive Plan, with vesting of those shares contingent on the reporting person's continued service with the Company to June 30, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2