### Edgar Filing: MASONITE INTERNATIONAL CORP - Form 4/A

#### MASONITE INTERNATIONAL CORP

Form 4/A

December 15, 2016

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**SECURITIES** 

OMB Number:

3235-0287

Check this box if no longer

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

January 31, Expires: 2005

**OMB APPROVAL** 

Estimated average burden hours per

0.5

Section 16. Form 4 or Form 5 obligations

subject to

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

response...

may continue. See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Lynch Frederick J

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

\_X\_\_ Director

MASONITE INTERNATIONAL

02/29/2016

(Check all applicable)

President and CEO

6. Individual or Joint/Group Filing(Check

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)

CORP [DOOR]

X\_ Officer (give title Other (specify below)

C/O MASONITE **INTERNATIONAL** CORPORATION, 201 N. FRANKLIN ST, SUITE 300

> (Street) 4. If Amendment, Date Original

> > Filed(Month/Day/Year)

Applicable Line) 03/02/2016

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

TAMPA, FL 33602

(Zip)

(City) (State)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if

any (Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5)

5. Amount of 6. Ownership Securities Form: Direct Beneficially Owned Following (Instr. 4)

7. Nature of Indirect (D) or Indirect Beneficial Ownership (Instr. 4)

10% Owner

(A) or

Reported Transaction(s) (Instr. 3 and 4)

Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of **SEC 1474** information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5. Number of orDerivative Securities Acquired (A) or Disposed of (D) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and Amount Underlying Securitie (Instr. 3 and 4) |                                 |
|---|---|---|---|--|--|--|--------------------|---|---------------------------------|
|   |   |   |   | Code V                                 | (Instr. 3, 4, and 5)  (A) (D)  | Date<br>Exercisable  | Expiration<br>Date | Title   | Amour<br>or<br>Numbe<br>of Shar |
| Stock<br>Appreciation<br>Right                      | \$ 58.37  | 02/29/2016                              |   | A                                      | 33,432<br>(1)  | (2)  | 02/28/2026         | Common<br>Shares  | 33,43                           |

# **Reporting Owners**

attorney-in-fact

| Reporting Owner Name / Address  | Relationships |           |                   |       |  |  |
|---|---------------|-----------|-------------------|-------|--|--|
| <b></b>   | Director      | 10% Owner | Officer           | Other |  |  |
| Lynch Frederick J<br>C/O MASONITE INTERNATIONAL CORPORATION<br>201 N. FRANKLIN ST, SUITE 300<br>TAMPA, FL 33602 | X             |           | President and CEO |       |  |  |
| Signatures  |               |           |                   |       |  |  |
| /s/ Robert E. Lewis, as   |               |           |                   |       |  |  |

# **Explanation of Responses:**

\*\*Signature of Reporting Person

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

12/15/2016

Date

- The original Form 4 reporting this award contained an error in the calculation of Stock Appreciation Rights awarded on February 29,
- (1) 2016, which also affected the total owned directly following the reported award. This amendment is being filed to correct (i) the number of Stock Appreciation Rights awarded on February 29, 2016, and (ii) the total owned directly following the reported award.
- (2) The Stock Appreciation Right will vest 33% on February 28, 2017, 33% on February 28, 2018 and 34% on February 28, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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