Edgar Filing: TRIARC COMPANIES INC - Form 4

TRIARC COMPANIES INC

Form 4

January 30, 2003

SEC Form 4

FORM 4	M 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION					OMB APPROVAL					
[] Check this box if no longer											
subject to Section 16. Form 4		Washington, D.C. 20549									
or Form 5 obligations may continue. See Instruction 1(b).		STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP							OMB Number: 3235-0287 Expires: January 31, 2005 Estimated average burden		
									e 0.5		
(Print or Type Response	es)	•									
		Holding C	ompany Act of 1935 or								
1. Name and Address of Reporting Person*		g 2. Issuer Name and Ticker or Trading Symbol 6. Relationsl						f Reporting Person(s) to Issuer (Check all applicable)			
Schwab II, David E.		Triarc Companies, Inc. TRY							100 0		
(Last) (First) (Middle)		3. I.R.S. Identification Number of Reporting Person, if an entity		4. Statement for Month/Day/Year			Officer	or 10% Owner r Other			
Triarc Companies, Inc. 280 Park Avenue		(volun		January 30, 2003			7. Individual or Joint/Group Filing (Check Applicable				
(Street)		_	<u> </u>		Li		Line)				
(Street) New York, NY 10017		_		5. If Amendment, Date of Original (Month/Day/Year)			X Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City) (State) (Zip)				(Month Day 1 Car)							
Table I - Non-Deriva	ative Secur	ities Acquii	red, Disposed of, or Be	neficially Own	ed						
1. Title of Security (Instr. 3)	2. Transac (Month	tion Date /Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code and Voluntary	4. Securities Ac (A) or Disposed Of (Instr. 3, 4, an Amount A/D	(D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Owner-ship Form: Direct(D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Class A Common Stock	01/01/200	2		J (1) V	307 \$24.4	A \$1(2)		D			
Class A Common Stock	04/01/200	2		J (1) V	272 \$27.5	A 57(2)		D			
Class A Common Stock	07/01/200	2		J (1) V	275 \$27.2	A 24(2)		D			
Class A Common Stock	09/30/200	2		J (1)	313 \$23.9	A 05(2)		D			
Class A Common Stock	12/30/200	2		J (1)	277 \$27.0	A 6	9,20	0 D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(over) SEC 1474 (9-02)

^{*} If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Schwab II, David E. - January 30, 2003

Form 4 (continued)

Table II -			quired, Dispos ts, options, con		•	ned					
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/ Day/ Year)	Execution Date, if any (Month/ Day/	Transaction	of Derivative Securities Acquired	6. Date Exercisable(DE) and Expiration Date(ED) (Month/Day/Year)	Amount of Underlying Securities	8. Price of Derivative Security (Instr.5)	Owned Following	10. Owner-ship Form of Deriv- ative Security: Direct (D) or Indirect (I) (Instr.4)	11. Nature o Indirect Beneficia Ownersh (Instr.4)
ESOP-right to buy w/ tandem tax withholding rights	\$27.17	06/04/2002		AIV	(A) 4,000	(3) 06/04/2012	Class A Common Stock - 4,000		4,000	D	

Explanation of Responses:

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

/s/ David E. Schwab II 01-30-2003

** Signature of Reporting Person
Date

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Page 2

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Schwab II, David E. - January 30, 2003

Form 4 (continued)

FOOTNOTE Descriptions fo	r Triarc Companies, Inc. TRY
	Form 4 - January 2003
David E. Schwab II	
Friarc Companies, Inc.	
280 Park Avenue	
New York, NY 10017	

Edgar Filing: TRIARC COMPANIES INC - Form 4

- (1) Shares were issued pursuant to the Company's 1998 Equity Participation Plan in lieu of annual retainer or Board of Directors or committee meeting attendance fees that would otherwise be payable in cash.
- (2) In accordance with the Company's Equity Participation Plan, the price is the average of the closing price per share on the 20 consecutive trading days immediately preceding the date on which the annual retainer or meeting attendance fee would otherwise be payable.
- (3) The option vests in two equal installments on June 4, 2003 and June 4, 2004.

Page 3