

MAXIM INTEGRATED PRODUCTS INC
Form SD
May 30, 2017

Exhibit 1.01

Maxim Integrated Products, Inc.

Conflict Mineral Report

For the Calendar Year Reporting Period Ended December 31, 2016

Company Overview:

Maxim Integrated Products, Inc. ("Maxim Integrated" or the "Company" and also referred to as "we," "our" or "us") designs, develops, manufactures and markets a broad range of linear and mixed-signal integrated circuits, commonly referred to as analog circuits, for a large number of geographically diverse customers. The Company also provides a range of high-frequency process technologies and capabilities that can be used in custom designs. The analog market is fragmented and characterized by many diverse applications, a great number of product variations and, with respect to many circuit types, relatively long product life cycles. The Company is a global company with wafer manufacturing facilities in the U.S., testing facilities in the Philippines and Thailand and sales and circuit design offices throughout the world. The major end-markets in which the Company's products are sold are the Automotive, Communications and Data Center, Computing, Consumer and Industrial markets.

Our Conflict Minerals Policy:

Maxim Integrated is committed to complying with the letter and the spirit of the conflict minerals disclosure requirements adopted by the Securities and Exchange Commission ("SEC") under Section 13(p) of the Securities and Exchange Act, as amended ("Exchange Act"), in the form of Rule 13p-1 and Form SD (together, the "Conflict Minerals Rule" or "Rule"). Maxim Integrated has considered the Rule's requirements, guidance from the Organisation for Economic Cooperation and Development (the "OECD") that has been recognized as authoritative by the SEC (the OECD Due Diligence Guidance for Responsible Supply Chains of Minerals from Conflict-Affected and High-Risk Areas, Second Edition, and related Supplements for tin, tantalum, tungsten and gold ("OECD Due Diligence Guidance")), and related measures being taken by the EICC (Electronic Industry Citizenship Coalition)/GeSI (Global e-Sustainability Initiative) Extractives Work Group, which is part of the joint Conflict-Free Sourcing Initiative ("CFSI") formed by these organizations, as well as other private-sector organizations' conflict minerals compliance initiatives, and is implementing and enhancing its formal Conflict Minerals compliance program accordingly. Our Conflict Minerals Policy Statement is available on our website at: <http://investor.maximintegrated.com/corporate-responsibility>.

To support this policy, Maxim Integrated:

Conducts a reasonable country of origin inquiry of all of its direct suppliers that have products containing necessary conflict minerals and, if required by the Conflict Minerals Rule (as it was for the calendar year 2016 reporting period), implements a program of due diligence on the source and chain of custody of conflict minerals (tin, tantalum, tungsten and gold, or "3TG") that we consider necessary to the functionality or production of our products ("necessary conflict minerals").

Expects our direct suppliers to have policies and procedures in place which are in compliance with the Maxim Integrated Conflict Minerals Policy Statement, and will enable transparency of the supply chain to facilitate our compliance with the Conflict Minerals Rule.

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Provides, and expects our direct suppliers to cooperate in providing, reasonable country of origin and due diligence information with regard to use of “necessary conflict minerals” in our supply chain.

Efforts to determine the mine or location of origin of the conflict minerals in our products

Tracing the necessary 3TG we use in our products back to the mine and/or source country of origin of each such mineral is a complex endeavor, but an important aspect of responsible sourcing. To help establish and maintain our supply chain compliance sourcing program, we have followed currently established industry guidelines such as those developed

by the CFSI under the auspices of the OECD Due Diligence Guidance, which is taking action to address responsible sourcing through the development of the Conflict-Free Smelter Program ("CFSP") program, which enables companies to source minerals from smelters and refiners that have been subjected to a rigorous, independent third-party audit.

Facilities used to process the conflict minerals in our products; brief description of our products

To implement our reasonable country of origin inquiry ("RCOI") survey process, we adopted the CFSI's industry approach and used that organization's CMRT (Conflict Minerals Reporting Template) to gather information from our first-tier suppliers, asking them to help us trace back the origin of necessary 3TG used in our products by identifying smelters, refineries or recyclers and scrap supplier sources of these minerals. We also utilized the CFSI and its CFSP to trace the mine of origin of the 3TG when such information was available. The CFSP conducts independent audits of smelters and refineries to ensure that all certified smelters and refineries are active in the CFSP.

Maxim Integrated procures IC and discrete components and raw materials that may contain conflict minerals from the following major types of suppliers:

Raw material supplier

Wafer Foundry

IC Assembly Subcontractor and Contract Manufacturers

Component Manufacturer

As discussed above, we manufacture a broad range of linear and mixed signal integrated circuits for a variety of uses (e.g., communications and computers), and have our own wafer fabrication facilities. We also use third-party wafer foundries.

The table below lists the facilities which, to the extent known, were identified by responding first-tier suppliers in our supply chain as sourcing smelters or refineries for one or more of the necessary conflict minerals in our products (we use gold, tin, tantalum and tungsten).

| Metal | Standard Smelter/Refiner ("Smelter") Names | Smelter Location | Smelter ID | Status* |
|-------|---------------------------------------------------------------|------------------|------------|----------------|
| Gold | Aida Chemical Industries Co., Ltd. | JAPAN | CID000019 | CFSP compliant |
| Gold | Allgemeine Gold-und Silberscheideanstalt A.G. | GERMANY | CID000035 | CFSP compliant |
| Gold | Almalyk Mining and Metallurgical Complex (AMMC) | UZBEKISTAN | CID000041 | CFSP compliant |
| Gold | AngloGold Ashanti Córrego do Sítio Mineração | BRAZIL | CID000058 | CFSP compliant |
| Gold | Argor-Heraeus S.A. | SWITZERLAND | CID000077 | CFSP compliant |
| Gold | Asahi Pretec Corp. | JAPAN | CID000082 | CFSP compliant |
| Gold | Asahi Refining Canada Ltd. | CANADA | CID000924 | CFSP compliant |
| Gold | Asahi Refining USA Inc. | UNITED STATES | CID000920 | CFSP compliant |
| Gold | Asaka Riken Co., Ltd. | JAPAN | CID000090 | CFSP compliant |
| Gold | Aurubis AG | GERMANY | CID000113 | CFSP compliant |
| Gold | Bangko Sentral ng Pilipinas (Central Bank of the Philippines) | PHILIPPINES | CID000128 | CFSP compliant |
| Gold | Boliden AB | SWEDEN | CID000157 | CFSP compliant |
| Gold | C. Hafner GmbH + Co. KG | GERMANY | CID000176 | CFSP compliant |
| Gold | CCR Refinery - Glencore Canada Corporation | CANADA | CID000185 | CFSP compliant |
| Gold | Chimet S.p.A. | ITALY | CID000233 | CFSP compliant |
| Gold | DODUCO GmbH | GERMANY | CID000362 | CFSP compliant |

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|------------------------------------------------------------------------|-----------------------|-----------|----------------------|
| GoldDowa | JAPAN | CID000401 | CFSP compliant |
| GoldDSC (Do Sung Corporation) | KOREA, REPUBLIC OF | CID000359 | CFSP compliant |
| GoldEco-System Recycling Co., Ltd. | JAPAN | CID000425 | CFSP compliant |
| GoldElemetal Refining, LLC | UNITED STATES | CID001322 | Compliant in 2016 |
| GoldHeimerle + Meule GmbH | GERMANY | CID000694 | CFSP compliant |
| GoldHeraeus Ltd. Hong Kong | HONG KONG | CID000707 | CFSP compliant |
| GoldHeraeus Precious Metals GmbH & Co. KG | GERMANY | CID000711 | CFSP compliant |
| GoldInner Mongolia Qiankun Gold and Silver Refinery Share Co., Ltd. | CHINA | CID000801 | CFSP compliant |
| GoldIshifuku Metal Industry Co., Ltd. | JAPAN | CID000807 | CFSP compliant |
| GoldIstanbul Gold Refinery | TURKEY | CID000814 | CFSP compliant |
| GoldJapan Mint | JAPAN | CID000823 | CFSP compliant |
| GoldJiangxi Copper Co., Ltd. | CHINA | CID000855 | CFSP compliant |
| GoldJSC Ekaterinburg Non-Ferrous Metal Processing Plant | RUSSIAN FEDERATION | CID000927 | CFSP compliant |
| GoldJSC Uralelectromed | RUSSIAN FEDERATION | CID000929 | CFSP compliant |
| GoldJX Nippon Mining & Metals Co., Ltd. | JAPAN | CID000937 | CFSP compliant |
| GoldKazzinc | KAZAKHSTAN | CID000957 | CFSP compliant |
| GoldKennecott Utah Copper LLC | UNITED STATES | CID000969 | CFSP compliant |
| GoldKojima Chemicals Co., Ltd. | JAPAN | CID000981 | CFSP compliant |
| GoldKyrgyzaltyn JSC | KYRGYZSTAN | CID001029 | CFSP compliant |
| GoldLS-NIKKO Copper Inc. | KOREA, REPUBLIC OF | CID001078 | CFSP compliant |
| GoldMaterion | UNITED STATES | CID001113 | CFSP compliant |
| GoldMatsuda Sangyo Co., Ltd. | JAPAN | CID001119 | CFSP compliant |
| GoldMetalor Technologies (Hong Kong) Ltd. | HONG KONG | CID001149 | CFSP compliant |
| GoldMetalor Technologies (Singapore) Pte., Ltd. | SINGAPORE | CID001152 | CFSP compliant |
| GoldMetalor Technologies (Suzhou) Ltd. | China | CID001147 | |

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|------------------------------------------------------------------------------------|-----------------------|-----------|-------------------|
| GoldMetalor Technologies S.A. | SWITZERLAND | CID001153 | CFSP compliant |
| GoldMetalor USA Refining Corporation | UNITED STATES | CID001157 | CFSP compliant |
| GoldMetalúrgica Met-Mex Peñoles S.A. De C.V. | MEXICO | CID001161 | CFSP compliant |
| GoldMitsubishi Materials Corporation | JAPAN | CID001188 | CFSP compliant |
| GoldMitsui Mining and Smelting Co., Ltd. | JAPAN | CID001193 | CFSP compliant |
| GoldMMTC-PAMP India Pvt., Ltd. | INDIA | CID002509 | CFSP compliant |
| GoldMoscow Special Alloys Processing Plant | RUSSIAN FEDERATION | CID001204 | CFSP compliant |
| GoldNadir Metal Rafineri San. Ve Tic. A. ^a . | TURKEY | CID001220 | CFSP compliant |
| GoldNihon Material Co., Ltd. | JAPAN | CID001259 | CFSP compliant |
| GoldÖgussa Österreichische Gold- und Silber-Scheideanstalt GmbH | AUSTRIA | CID002779 | CFSP compliant |
| GoldOhura Precious Metal Industry Co., Ltd. | JAPAN | CID001325 | CFSP compliant |
| GoldOJSC "The Gulidov Krasnoyarsk Non-Ferrous Metals Plant" (OJSC Krastsvetmet) | RUSSIAN FEDERATION | CID001326 | CFSP compliant |
| GoldOJSC Novosibirsk Refinery | RUSSIAN FEDERATION | CID000493 | CFSP compliant |
| GoldPAMP S.A. | SWITZERLAND | CID001352 | CFSP compliant |
| GoldPrioksky Plant of Non-Ferrous Metals | RUSSIAN FEDERATION | CID001386 | CFSP compliant |
| GoldPT Aneka Tambang (Persero) Tbk | INDONESIA | CID001397 | CFSP compliant |
| GoldPX Précinox S.A. | SWITZERLAND | CID001498 | CFSP compliant |
| GoldRand Refinery (Pty) Ltd. | SOUTH AFRICA | CID001512 | CFSP compliant |
| GoldRepublic Metals Corporation | UNITED STATES | CID002510 | CFSP compliant |
| GoldRoyal Canadian Mint | CANADA | CID001534 | CFSP compliant |
| GoldSamduck Precious Metals | KOREA, REPUBLIC OF | CID001555 | CFSP compliant |
| GoldSchone Edelmetaal B.V. | NETHERLANDS | CID001573 | CFSP compliant |

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|----------|------------------------------------------------------|-----------------------|-----------|-------------------|
| Gold | SEMPSA Joyería Platería S.A. | SPAIN | CID001585 | CFSP compliant |
| Gold | Shandong Zhaojin Gold & Silver Refinery Co., Ltd. | CHINA | CID001622 | CFSP compliant |
| Gold | Sichuan Tianze Precious Metals Co., Ltd. | CHINA | CID001736 | CFSP compliant |
| Gold | Singway Technology Co., Ltd. | TAIWAN | CID002516 | CFSP compliant |
| Gold | SOE Shyolkovsky Factory of Secondary Precious Metals | RUSSIAN FEDERATION | CID001756 | CFSP compliant |
| Gold | Solar Applied Materials Technology Corp. | TAIWAN | CID001761 | CFSP compliant |
| Gold | Sumitomo Metal Mining Co., Ltd. | JAPAN | CID001798 | CFSP compliant |
| Gold | T.C.A S.p.A | ITALY | CID002580 | CFSP compliant |
| Gold | Tanaka Kikinzoku Kogyo K.K. | JAPAN | CID001875 | CFSP compliant |
| Gold | The Refinery of Shandong Gold Mining Co., Ltd. | CHINA | CID001916 | CFSP compliant |
| Gold | Tokuriki Honten Co., Ltd. | JAPAN | CID001938 | CFSP compliant |
| Gold | Torecom | KOREA, REPUBLIC OF | CID001955 | CFSP compliant |
| Gold | Umicore Brasil Ltda. | BRAZIL | CID001977 | CFSP compliant |
| Gold | Umicore Precious Metals Thailand | THAILAND | CID002314 | CFSP compliant |
| Gold | Umicore S.A. Business Unit Precious Metals Refining | BELGIUM | CID001980 | CFSP compliant |
| Gold | United Precious Metal Refining, Inc. | UNITED STATES | CID001993 | CFSP compliant |
| Gold | Valcambi S.A. | SWITZERLAND | CID002003 | CFSP compliant |
| Gold | Western Australian Mint trading as The Perth Mint | AUSTRALIA | CID002030 | CFSP compliant |
| Gold | Yamamoto Precious Metal Co., Ltd. | JAPAN | CID002100 | CFSP compliant |
| Gold | Yokohama Metal Co., Ltd. | JAPAN | CID002129 | CFSP compliant |
| Gold | Zhongyuan Gold Smelter of Zhongjin Gold Corporation | CHINA | CID002224 | CFSP compliant |
| Gold | Zijin Mining Group Co., Ltd. Gold Refinery | CHINA | CID002243 | CFSP compliant |
| Tantalum | Changsha South Tantalum Niobium Co., Ltd. | CHINA | CID000211 | CFSP compliant |
| Tantalum | Conghua Tantalum and Niobium Smeltry | CHINA | CID000291 | CFSP compliant |
| Tantalum | D Block Metals, LLC | UNITED STATES | CID002504 | |

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| Tantalum Duoluoshan | CHINA | CID000410 | CFSP compliant |
| Tantalum Exotech Inc. | UNITED STATES | CID000456 | CFSP compliant |
| Tantalum F&X Electro-Materials Ltd. | CHINA | CID000460 | CFSP compliant |
| Tantalum FIR Metals & Resource Ltd. | CHINA | CID002505 | CFSP compliant |
| Tantalum Global Advanced Metals Aizu | JAPAN | CID002558 | CFSP compliant |
| Tantalum Global Advanced Metals Boyertown | UNITED STATES | CID002557 | CFSP compliant |
| Tantalum Guangdong Zhiyuan New Material Co., Ltd. | CHINA | CID000616 | CFSP compliant |
| Tantalum H.C. Starck Co., Ltd. | THAILAND | CID002544 | CFSP compliant |
| Tantalum H.C. Starck GmbH Goslar | GERMANY | CID002545 | CFSP compliant |
| Tantalum H.C. Starck Hermsdorf GmbH | GERMANY | CID002547 | CFSP compliant |
| Tantalum H.C. Starck Inc. | UNITED STATES | CID002548 | CFSP compliant |
| Tantalum H.C. Starck Ltd. | JAPAN | CID002549 | CFSP compliant |
| Tantalum H.C. Starck Smelting GmbH & Co.KG | GERMANY | CID002550 | CFSP compliant |
| Tantalum Hengyang King Xing Lifeng New Materials Co., Ltd. | CHINA | CID002492 | CFSP compliant |
| Tantalum Hi-Temp Specialty Metals, Inc. | UNITED STATES | CID000731 | CFSP compliant |
| Tantalum JiuJiang JinXin Nonferrous Metals Co., Ltd. | CHINA | CID000914 | CFSP compliant |
| Tantalum Jiujiang Tanbre Co., Ltd. | CHINA | CID000917 | CFSP compliant |
| Tantalum Kemet Blue Metals | MEXICO | CID002539 | CFSP compliant |
| Tantalum Kemet Blue Powder | UNITED STATES | CID002568 | CFSP compliant |
| Tantalum LSM Brasil S.A. | BRAZIL | CID001076 | CFSP compliant |
| Tantalum Metallurgical Products India Pvt., Ltd. | INDIA | CID001163 | CFSP compliant |
| Tantalum Mineração Taboca S.A. - Ta | BRAZIL | CID001175 | CFSP compliant |
| Tantalum Mitsui Mining & Smelting | JAPAN | CID001192 | CFSP compliant |

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| TantalumNingxia Orient Tantalum Industry Co., Ltd. | CHINA | CID001277CFSP compliant |
| TantalumNPM Silmet AS | ESTONIA | CID001200CFSP compliant |
| TantalumSolikamsk Magnesium Works OAO | RUSSIAN FEDERATION | CID001769CFSP compliant |
| TantalumTaki Chemical Co., Ltd. | JAPAN | CID001869CFSP compliant |
| TantalumTelex Metals | UNITED STATES | CID001891CFSP compliant |
| TantalumUlba Metallurgical Plant JSC | KAZAKHSTAN | CID001969CFSP compliant |
| TantalumYichun Jin Yang Rare Metal Co., Ltd. | CHINA | CID002307CFSP compliant |
| TantalumZhuzhou Cemented Carbide Group Co., Ltd. | CHINA | CID002232CFSP compliant |
| Tin Alpha | UNITED STATES | CID000292CFSP compliant |
| Tin Chenzhou Yunxiang Mining and Metallurgy Co., Ltd. | CHINA | CID000228CFSP compliant |
| Tin China Tin Group Co., Ltd. | CHINA | CID001070CFSP compliant |
| Tin Cooperativa Metalurgica de Rondônia Ltda. | BRAZIL | CID000295CFSP compliant |
| Tin CV Ayi Jaya | INDONESIA | CID002570CFSP compliant |
| Tin CV Gita Pesona | INDONESIA | CID000306CFSP compliant |
| Tin CV Serumpun Sebalai | INDONESIA | CID000313CFSP compliant |
| Tin CV Tiga Sekawan | INDONESIA | CID002593CFSP compliant |
| Tin CV United Smelting | INDONESIA | CID000315CFSP compliant |
| Tin CV Venus Inti Perkasa | INDONESIA | CID002455CFSP compliant |
| Tin Dowa | JAPAN | CID000402CFSP compliant |
| Tin Elmet S.L.U. | SPAIN | CID002774CFSP compliant |
| Tin EM Vinto | BOLIVIA | CID000438CFSP compliant |
| Tin Fenix Metals | POLAND | CID000468CFSP compliant |
| Tin Gejiu Jinye Mineral Company | CHINA | CID002859CFSP compliant |
| Tin Gejiu Non-Ferrous Metal Processing Co., Ltd. | CHINA | CID000538CFSP compliant |
| Tin Jiangxi Ketai Advanced Material Co., Ltd. | CHINA | CID000244CFSP compliant |
| Tin Magnu's Minerai's Metais e Ligas Ltda. | BRAZIL | CID002468CFSP compliant |
| Tin Malaysia Smelting Corporation (MSC) | MALAYSIA | CID001105CFSP compliant |
| Tin Melt Metais e Ligas S.A. | BRAZIL | CID002500CFSP compliant |
| Tin Metallic Resources, Inc. | UNITED STATES | CID001142CFSP compliant |
| Tin Metallo-Chimique N.V. | BELGIUM | CID002773CFSP compliant |
| Tin Mineração Taboca S.A. | BRAZIL | CID001173CFSP compliant |
| Tin Minsur | PERU | CID001182CFSP compliant |
| Tin Mitsubishi Materials Corporation | JAPAN | CID001191CFSP compliant |
| Tin O.M. Manufacturing (Thailand) Co., Ltd. | THAILAND | CID001314CFSP compliant |
| Tin O.M. Manufacturing Philippines, Inc. | PHILIPPINES | CID002517CFSP compliant |
| Tin Operaciones Metalurgical S.A. | BOLIVIA | CID001337CFSP compliant |
| Tin PT Aries Kencana Sejahtera | INDONESIA | CID000309CFSP compliant |
| Tin PT Artha Cipta Langgeng | INDONESIA | CID001399CFSP compliant |
| Tin PT ATD Makmur Mandiri Jaya | INDONESIA | CID002503CFSP compliant |
| Tin PT Babel Inti Perkasa | INDONESIA | CID001402CFSP compliant |
| Tin PT Bangka Prima Tin | INDONESIA | CID002776CFSP compliant |
| Tin PT Bangka Tin Industry | INDONESIA | CID001419CFSP compliant |
| Tin PT Belitung Industri Sejahtera | INDONESIA | CID001421CFSP compliant |
| Tin PT Bukit Timah | INDONESIA | CID001428CFSP compliant |
| Tin PT DS Jaya Abadi | INDONESIA | CID001434CFSP compliant |
| Tin PT Eunindo Usaha Mandiri | INDONESIA | CID001438CFSP compliant |
| Tin PT Inti Stania Prima | INDONESIA | CID002530CFSP compliant |

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| Tin | PT Menara Cipta Mulia | INDONESIA | CID002835 CFSP compliant |
| Tin | PT Mitra Stania Prima | INDONESIA | CID001453 CFSP compliant |
| Tin | PT Panca Mega Persada | INDONESIA | CID001457 CFSP compliant |

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| Tin | PT Prima Timah Utama | INDONESIA | CID001458 | CFSP compliant |
| Tin | PT REFINED BANGKA TIN | INDONESIA | CID001460 | CFSP compliant |
| Tin | PT Sariwiguna Binasentosa | INDONESIA | CID001463 | CFSP compliant |
| Tin | PT Stanindo Inti Perkasa | INDONESIA | CID001468 | CFSP compliant |
| Tin | PT Sukses Inti Makmur | INDONESIA | CID002816 | CFSP compliant |
| Tin | PT Sumber Jaya Indah | INDONESIA | CID001471 | CFSP compliant |
| Tin | PT Timah (Persero) Tbk Kundur | INDONESIA | CID001477 | CFSP compliant |
| Tin | PT Timah (Persero) Tbk Mentok | INDONESIA | CID001482 | CFSP compliant |
| Tin | PT Tinindo Inter Nusa | INDONESIA | CID001490 | CFSP compliant |
| Tin | PT Tommy Utama | INDONESIA | CID001493 | CFSP compliant |
| Tin | Resind Indústria e Comércio Ltda. | BRAZIL | CID002706 | CFSP compliant |
| Tin | Rui Da Hung | TAIWAN | CID001539 | CFSP compliant |
| Tin | Soft Metais Ltda. | BRAZIL | CID001758 | CFSP compliant |
| Tin | Thaisarco | THAILAND | CID001898 | CFSP compliant |
| Tin | VQB Mineral and Trading Group JSC | VIET NAM | CID002015 | CFSP compliant |
| Tin | White Solder Metalurgia e Mineração Ltda. | BRAZIL | CID002036 | CFSP compliant |
| Tin | Yunnan Chengfeng Non-ferrous Metals Co., Ltd. | CHINA | CID002158 | CFSP ACTIVE |
| Tin | Yunnan Tin Company Limited | CHINA | CID002180 | CFSP compliant |
| Tungsten | A.L.M.T. TUNGSTEN Corp. | JAPAN | CID000004 | CFSP compliant |
| Tungsten | Chenzhou Diamond Tungsten Products Co., Ltd. | CHINA | CID002513 | CFSP compliant |
| Tungsten | Chongyi Zhangyuan Tungsten Co., Ltd. | CHINA | CID000258 | CFSP compliant |
| Tungsten | Fujian Jinxin Tungsten Co., Ltd. | CHINA | CID000499 | CFSP compliant |
| Tungsten | Ganzhou Huaxing Tungsten Products Co., Ltd. | CHINA | CID000875 | CFSP compliant |
| Tungsten | Ganzhou Jiangwu Ferrotungsten Co., Ltd. | CHINA | CID002315 | CFSP compliant |
| Tungsten | Ganzhou Seadragon W & Mo Co., Ltd. | CID002494 | CID002494 | CFSP compliant |

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| TungstenGlobal Tungsten & Powders Corp. | UNITED STATES | CID000568 | CFSP compliant |
| TungstenGuangdong Xianglu Tungsten Co., Ltd. | CHINA | CID000218 | CFSP compliant |
| TungstenH.C. Starck GmbH | GERMANY | CID002541 | CFSP compliant |
| TungstenH.C. Starck Smelting GmbH & Co.KG | GERMANY | CID002542 | CFSP compliant |
| TungstenHunan Chenzhou Mining Co., Ltd. | CHINA | CID000766 | CFSP compliant |
| TungstenHunan Chuangda Vanadium Tungsten Co., Ltd. Wuji | CHINA | CID002579 | CFSP compliant |
| TungstenHunan Chunchang Nonferrous Metals Co., Ltd. | CHINA | CID000769 | CFSP compliant |
| TungstenHydrometallurg, JSC | RUSSIAN FEDERATION | CID002649 | CFSP compliant |
| TungstenJapan New Metals Co., Ltd. | JAPAN | CID000825 | CFSP compliant |
| TungstenJiangwu H.C. Starck Tungsten Products Co., Ltd. | CHINA | CID002551 | CFSP compliant |
| TungstenJiangxi Gan Bei Tungsten Co., Ltd. | CHINA | CID002321 | CFSP compliant |
| TungstenJiangxi Tonggu Non-ferrous Metallurgical & Chemical Co., Ltd. | CHINA | CID002318 | CFSP compliant |
| TungstenJiangxi Xinsheng Tungsten Industry Co., Ltd. | CHINA | CID002317 | CFSP compliant |
| TungstenJiangxi Yaosheng Tungsten Co., Ltd. | CHINA | CID002316 | CFSP compliant |
| TungstenKennametal Fallon | UNITED STATES | CID000966 | CFSP compliant |
| TungstenKennametal Huntsville | UNITED STATES | CID000105 | CFSP compliant |
| TungstenMalipo Haiyu Tungsten Co., Ltd. | CHINA | CID002319 | CFSP compliant |
| TungstenNiagara Refining LLC | UNITED STATES | CID002589 | CFSP compliant |
| TungstenNui Phao H.C. Starck Tungsten Chemicals Manufacturing LLC | VIET NAM | CID002543 | CFSP compliant |
| TungstenTejing (Vietnam) Tungsten Co., Ltd. | VIETNAM | CID001889 | CFSP compliant |
| TungstenVietnam Youngsun Tungsten Industry Co., Ltd. | VIET NAM | CID002011 | CFSP compliant |
| TungstenWolfram Bergbau und Hütten AG | AUSTRIA | CID002044 | CFSP compliant |
| TungstenXiamen Tungsten (H.C.) Co., Ltd. | CHINA | CID002320 | CFSP compliant |

Tungsten Xiamen Tungsten Co., Ltd.

CHINACID002082 CFSP compliant

Tungsten Xinhai Rendan Shaoguan Tungsten Co., Ltd. CHINACID002095 CFSP compliant

* As of April 30, 2017. "CFSP Compliant" means that the Smelter's name was included on the Conflict Free Smelter and Refiner List published on the CFSI website. "CFSP Active" means that the Smelter's name was included on the Active Smelters and Refiners List published on the CFSI website. "2016 Compliant" means the Smelter was CFSP Compliant in 2016, but has withdrawn from CSFI in 2017.

Countries of origin for mines of the conflict minerals these facilities process are believed to include:

Australia, Austria, Benin, Bolivia (Plurinational State of), Brazil, Burkina Faso, Burundi Cambodia, Canada, Chile, China, Colombia, Democratic Republic of Congo, Ecuador, Eritrea, Ethiopia, France, Ghana, Guatemala, Guinea, Guyana, Honduras, India, Indonesia, Japan, Laos, Madagascar, Malaysia, Mali, Mexico, Mongolia, Mozambique, Myanmar, Namibia, Nicaragua, Nigeria, Panama, Peru, Portugal, Russia, Rwanda, Senegal, Sierra Leone, South Africa, Spain, Thailand, Togo, Uganda, United States of America, Uzbekistan, Vietnam, Zimbabwe.

Exercise due diligence on the source and chain of custody of its conflict minerals following recognized framework:

Due Diligence Framework

We have exercised due diligence on the source and chain of custody of our conflict minerals to identify minerals originating from the Covered Countries (DRC or an adjoining country) that are not from scrap or recycled materials. Maxim Integrated's due diligence process has been designed and implemented based on the framework set forth in the OECD Due Diligence Guidance.

Our conflict minerals due diligence process in calendar year 2016 followed each of the five OECD-recommended steps:

1. Establishment of Strong Company Management Systems
2. Identify and assess risks in the supply chain
3. Design and implement a strategy to respond to identified risks
4. Encourage the independent third-party audit of smelter/refiner's due diligence practices
5. Report annually on supply chain due diligence

Inherent Limitations on Due Diligence Measures

As a downstream purchaser of conflict minerals or products (including components) manufactured by third parties that contain conflict minerals, our due diligence measures can provide only reasonable, not absolute, assurance regarding the source and chain of custody of the necessary conflict minerals contained in the products we sell to our customers. Our due diligence processes are based on the necessity of seeking data from our direct suppliers, and on those suppliers in turn seeking similar information within their supply chains to identify the original sources of the necessary conflict minerals. We also rely, to a large extent, on information collected and provided by independent third party audit programs such as the CFSP. This process, in our view, is entirely consistent with the OECD Due Diligence Guidance for downstream companies. Maxim Integrated is an active member of CFSI which oversees the

CFSP audit program.

Brief description of due diligence measures taken

1.0 Strong Company Management Systems:

We have established a management system for complying with the applicable rules. Our management system includes the development of a Conflict Minerals Oversight Committee led by our Senior Vice President and General Counsel, Senior Vice President, Quality and Vice President, Deputy General Counsel. Maxim Integrated has also developed a team of subject matter experts from relevant functions with specific responsibilities. The Quality Director is responsible for directing the project operations and reporting to upper management. The Quality Director is also responsible for implementation of the supply chain due diligence process. Data collection and reporting are handled by the Environmental Materials Management and Information (EMMI) group within the Quality organization. The Environmental Materials Manager is responsible for day-to-day data collection, customer responses, supply chain and smelter communication, data/information validation, and archival documentation.

The team of subject matter experts is responsible for implementing our conflict mineral compliance policy, procedures and strategy. The Conflict Minerals Oversight Committee has been briefed about the results of our due diligence efforts on a periodic basis and the Director of Quality is briefed regularly during staff meetings.

2.0 Identification and Assessment of Risks in the Supply Chain:

We have made our reasonable efforts to identify first-tier suppliers that supply products or raw materials that may potentially contain necessary conflict minerals by conducting a supply chain survey using the EICC CFSI Conflict Minerals Reporting Template (CMRT), requesting all of our direct suppliers to identify smelters and refiners and country of origin of the conflict minerals in products and/or raw materials they supply to us; following up with direct suppliers that do not respond to the EICC CFSI Conflict Minerals Report Template by requesting their responses; comparing smelters and refiners identified by respondents to our supply chain survey against the list of facilities that have received a "Compliant" or "Active" designation from the CFSP, which designations provide country of origin information; and maintaining documentation of our efforts pursuant to this process. We also review the responses to identify red flags in the responses for further follow-up and also identify key risks to our supply chain; this information also is documented.

3.0 Strategic Response to Identified Risks:

We have and will continue to make reasonable efforts to encourage suppliers who are sourcing from non-compliant smelters and/or refineries to move towards using compliant smelters and refiners (as identified via the CFSP and other industry-developed third-party audit mechanisms). If a supplier fails to remedy the risks identified by our risk assessment, we intend to escalate it to Conflict Minerals Oversight Committee to determine whether to approve or reject the supplier based on the following factors: a thorough cost and benefit analysis; potential risk factors; any existing competitive bids; and whether the supplier is a single source supplier. If the Conflict Minerals Oversight Committee decides to continue such a business relationship due to inherent limitations of the supply chain, we will use reasonable efforts to follow up with said supplier for its correction plan, and encourage our suppliers to work with CFSP compliant smelters and refiners. We provide and plan to continue to provide periodic compliance updates or reports to our Conflict Minerals Oversight Committee summarizing our risk mitigation efforts.

4.0 Independent Third Party Audit of Smelter/Refiner's Due Diligence Practices:

We do not have a direct relationship with 3TG smelters and refiners; hence, we do not and are not able to perform direct audits of these entities that provide the 3TG metals to our supply chain. However, we do rely upon industry efforts, including the EICC CFSI, to influence smelters and refiners to get audited and certified through CFSI's CFSP. We rely upon the summary audit reports on compliance status for smelters generated by the CFSP to validate the responses received from suppliers surveyed pursuant to our RCOI process and address red flags identified in Step 2

above. We believe our efforts adequately address this requirement in the OECD Due Diligence Guidance.

5.0 Annual Report on Supply Chain Due Diligence:

In addition to this Conflict Minerals Report and accompanying Form SD, for further information about our Conflict Minerals Policy Statement, please see: <http://investor.maximintegrated.com/corporate-responsibility>.

Results of due diligence measures taken

We are committed to continuing and improving upon the use of our supply chain due diligence processes, leveraging the industry standard CFSP and other resources made available by the CFSI, as well as the LBMA and other respected industry groups, to assist companies in following the OECD Due Diligence Guidance, and the ongoing update of our supplier RCOI information as we continue to develop additional transparency into our supply chain.

CONFLICT MINERALS REPORT

The Conflict Minerals Report for the calendar year ended December 31, 2016 is filed with the SEC as Exhibit 1.01 to Form SD, and is available at <http://investor.maximintegrated.com/financial-information>. The content of this website, and any other website referred to in this Conflict Minerals Report and/or the accompanying Form SD, is not incorporated by reference into either of these documents, or any other document Maxim Integrated has filed or will file with the SEC.