DOZIER C MICHAEL

Form 4 March 07, 2019

### FORM 4

#### **OMB APPROVAL**

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

**OMB** 3235-0287 Number:

Check this box if no longer subject to Section 16.

Washington, D.C. 20549

January 31, Expires: 2005

Form 4 or Form 5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Estimated average burden hours per response... 0.5

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person *
DOZIER C MICHAEL

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

(First) (Middle) (Last)

(State)

PACCAR INC [PCAR]

(Check all applicable)

777 106TH AVE NE

3. Date of Earliest Transaction

(Month/Day/Year)

Director 10% Owner Other (specify

03/05/2019

X\_ Officer (give title below) VICE PRESIDENT

(Street) 4. If Amendment, Date Original

(Zip)

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

#### BELLEVUE, WA 98004

(City)	(State) (Z	ip) Table	I - Non-De	rivative Se	curities Acqui	red, Disposed of,	or Beneficiall	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)		es Acquired posed of (D) and 5)  (A) or (D) Price	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
COMMON						3,519	D	

STOCK

(City)

**COMMON** 

44.752 A  $J^{(2)}$ 9.686.66 **STOCK** 03/05/2019 D  $(SIP)^{(1)}$ 

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

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# $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (\emph{e.g.}, puts, calls, warrants, options, convertible securities) \\ \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercis Expiration Dat (Month/Day/Y	e	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
STOCK OPTION	\$ 50.5					01/01/2014	02/03/2021	COMMON STOCK	4,882
STOCK OPTION	\$ 43.24					01/01/2015	02/02/2022	COMMON STOCK	7,756
STOCK OPTION	\$ 47.81					01/01/2016	02/06/2023	COMMON STOCK	6,644
STOCK OPTION	\$ 59.15					01/01/2017	02/07/2024	COMMON STOCK	5,232
STOCK OPTION	\$ 62.46					01/01/2018	02/04/2025	COMMON STOCK	4,814
STOCK OPTION	\$ 50					01/01/2019	02/04/2026	COMMON STOCK	6,926
STOCK OPTION	\$ 67.63					01/01/2020	02/07/2027	COMMON STOCK	9,406
STOCK OPTION	\$ 68.69					01/01/2021	02/07/2028	COMMON STOCK	8,290
STOCK OPTION	\$ 65.56					01/01/2022	02/06/2029	COMMON STOCK	11,178
STOCK UNITS (LTIP) (4)	<u>(4)</u>					<u>(4)</u>	<u>(4)</u>	COMMON STOCK	3,180

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### **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

DOZIER C MICHAEL 777 106TH AVE NE BELLEVUE, WA 98004

VICE PRESIDENT

## **Signatures**

C. Michael Dozier by Irene E. Song POA

03/06/2019

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares held in PACCAR Savings Investment Plan (SIP).
- (2) Dividend on PACCAR Savings Investment Plan (SIP) shares reinvested pursuant to SIP.
- (3) Option to buy awarded under PACCAR Long Term Incentive Plan (LTIP).
- Restricted stock units awarded under LTIP and convertible to common stock on a one-for-one basis upon satisfaction of all applicable
- (4) vesting conditions. Each award vests in four equal installments commencing on March 1 following the award and January 1 of the next three years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3