

FRANKLIN UNIVERSAL TRUST

Form NSAR-A

April 28, 2014

PAGE 1
000 A000000 02/28/2014
000 C000000 0000833040
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 FRANKLIN UNIVERSAL TRUST
001 B000000 811-05569
001 C000000 6503122000
002 A000000 ONE FRANKLIN PARKWAY
002 B000000 SAN MATEO
002 C000000 CA
002 D010000 94403
002 D020000 1906
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
007 C010100 1
008 A000001 FRANKLIN ADVISERS, INC.
008 B000001 A
008 C000001 801-26292
008 D010001 SAN MATEO
008 D020001 CA
008 D030001 94403
008 D040001 1906
010 A000001 FRANKLIN TEMPLETON SERVICES, LLC
010 B000001 801-31192
010 C010001 SAN MATEO
010 C020001 CA
010 C030001 94403
010 C040001 1906
011 A000001 UBS FINANCIAL SERVICES INC
011 B000001 8-16267
011 C010001 WEEHAWKEN
011 C020001 NJ
011 C030001 07086
011 A000002 A.G. EDWARDS & SONS INC.
011 B000002 8-13580
011 C010002 ST. LOUIS
011 C020002 MO
011 C030002 63103
011 A000003 CITIGROUP GLOBAL MARKETS INC
011 B000003 8-00541
011 C010003 NEW YORK
011 C020003 NY
PAGE 2
011 C030003 10022
011 A000004 RBS CAPITAL MARKETS CORPORATION
011 B000004 8-45411
011 C010004 NEW YORK
011 C020004 NY
011 C030004 10006

Edgar Filing: FRANKLIN UNIVERSAL TRUST - Form NSAR-A

011 C040004 1404
011 A000005 RAYMOND JAMES & ASSOCIATES
011 B000005 8-04393
011 C010005 ST. PETERSBURG
011 C020005 FL
011 C030005 33733
011 C040005 4508
011 A000006 BEAR STEARNS & CO. INC
011 B000006 8-35008
011 C010006 NEW YORK
011 C020006 NY
011 C030006 10179
011 A000007 FIRST BOSTON CORP
011 B000007 8-91836
011 C010007 NEW YORK
011 C020007 NY
011 C030007 10055
011 A000008 ALEX BROWN INVESTMENT MANAGEMENT LLC
011 B000008 8-04401
011 C010008 BALTIMORE
011 C020008 MD
011 C030008 21202
011 A000009 DONALDSON LUFKIN & JENRETTE INC
011 B000009 8-96058
011 C010009 NEW YORK
011 C020009 NY
011 C030009 10005
011 A000010 DREXEL BURNHAM LAMBERT REAL ESTATE
011 B000010 8-5829
011 C010010 GREENVILLE
011 C020010 SC
011 C030010 29602
011 A000011 GOLDMAN, SACHS & CO
011 B000011 8-00129
011 C010011 NEW YORK
011 C020011 NY
011 C030011 10004
012 A000001 BNY MELLON INVESTMENT SERVICING (US) INC.
012 B000001 84-01761
012 C010001 PITTSBURGH
012 C020001 PA
012 C030001 15252
012 C040001 8035
013 A000001 PRICEWATERHOUSECOOPERS LLP
013 B010001 SAN FRANCISCO
PAGE 3
013 B020001 CA
013 B030001 94111
013 B040001 4004
015 A000001 THE BANK OF NEW YORK MELLON
015 B000001 C
015 C010001 NEW YORK
015 C020001 NY
015 C030001 10286
015 C040001 0001
015 E010001 X
015 A000002 CITIBANK ARGENTINA
015 B000002 S
015 C010002 BUENOS AIRES
015 D010002 ARGENTINA
015 E040002 X
015 A000003 FRANKLIN TEMPLETON INVESTOR SERVICES LLC

Edgar Filing: FRANKLIN UNIVERSAL TRUST - Form NSAR-A

015 B000003 C
015 C010003 SAN MATEO
015 C020003 CA
015 C030003 94403
015 C040003 1906
015 E030003 X
015 A000004 NATIONAL AUSTRALIA BANK LTD
015 B000004 S
015 C010004 MELBOURNE
015 D010004 AUSTRALIA
015 E040004 X
015 A000005 CITIBANK INTERNATIONAL PLC
015 B000005 S
015 C010005 BRUSSELS
015 D010005 BELGIUM
015 E040005 X
015 A000006 CITIBANK NA
015 B000006 S
015 C010006 SAO PAULO
015 D010006 BRAZIL
015 E040006 X
015 A000007 CIBC MELLON TRUST CO
015 B000007 S
015 C010007 TORONTO
015 D010007 CANADA
015 E040007 X
015 A000008 HSBC BANK (CHINA) CO LTD
015 B000008 S
015 C010008 SHANGHAI
015 D010008 CHINA
015 E040008 X
015 A000009 HSBC BANK (CHINA) CO LTD
015 B000009 S
015 C010009 SHENZHEN
015 D010009 CHINA
015 E040009 X
015 A000010 SKANDINAVISKA ENSKILDA BANKEN AB
015 B000010 S
015 C010010 COPENHAGEN
015 D010010 DENMARK
015 E040010 X
015 A000011 EUROCLEAR BANK
015 B000011 S
015 C010011 BRUSSELS
015 D010011 EUROMARKET
015 E040011 X
015 A000012 SKANDINAVISKA ENSKILDA BANKEN AB
015 B000012 S
015 C010012 HELSINKI
015 D010012 FINLAND
015 E040012 X
015 A000013 BNP PARIBAS SECURITIES SERVICES
015 B000013 S
015 C010013 PARIS
015 D010013 FRANCE
015 E040013 X
015 A000014 BNY MELLON ASSET SERVICING GMBH
015 B000014 S
015 C010014 FRANKFURT AM MAIN
015 D010014 GERMANY
015 E040014 X

Edgar Filing: FRANKLIN UNIVERSAL TRUST - Form NSAR-A

015 A000015 THE HONGKONG AND SHANGHAI BANKING CORP LTD
015 B000015 S
015 C010015 HONG KONG
015 D010015 HONG KONG
015 E040015 X
015 A000016 DEUTSCHE BANK AG
015 B000016 S
015 C010016 JAKARTA
015 D010016 INDONESIA
015 E040016 X
015 A000017 THE BANK OF NEW YORK MELLON
015 B000017 S
015 C010017 LONDON
015 D010017 IRELAND
015 E040017 X
015 A000018 THE BANK OF TOKYO-MITSUBISHI UFJ LTD
015 B000018 S
015 C010018 TOKYO
015 D010018 JAPAN
015 E040018 X
015 A000019 INTESA SANPAOLO SPA
015 B000019 S
015 C010019 MILAN
015 D010019 ITALY
015 E040019 X

PAGE 5

015 A000020 BANCO NACIONAL DE MEXICO SA
015 B000020 S
015 C010020 MEXICO CITY
015 D010020 MEXICO
015 E040020 X
015 A000021 THE BANK OF NEW YORK MELLON SA/NV
015 B000021 S
015 C010021 BRUSSELS
015 D010021 NETHERLANDS
015 E040021 X
015 A000022 NATIONAL NOMINEES LTD
015 B000022 S
015 C010022 WELLINGTON
015 D010022 NEW ZEALAND
015 E040022 X
015 A000023 DEUTSCHE BANK AG
015 B000023 S
015 C010023 MAKATI CITY
015 D010023 PHILIPPINES
015 E040023 X
015 A000024 CITIBANK INTERNATIONAL PLC
015 B000024 S
015 C010024 PORTO
015 D010024 PORTUGAL
015 E040024 X
015 A000025 UNITED OVERSEAS BANK LTD
015 B000025 S
015 C010025 SINGAPORE
015 D010025 SINGAPORE
015 E040025 X
015 A000026 THE STANDARD BANK OF SOUTH AFRICA LTD
015 B000026 S
015 C010026 JOHANNESBURG
015 D010026 SOUTH AFRICA
015 E040026 X
015 A000027 BANCO BILBAO VIZCAYA ARGENTARIA SA

Edgar Filing: FRANKLIN UNIVERSAL TRUST - Form NSAR-A

015 B000027 S
015 C010027 MADRID
015 D010027 SPAIN
015 E040027 X
015 A000028 THE HONGKONG AND SHANGHAI BANKING CORP LTD
015 B000028 S
015 C010028 COLOMBO
015 D010028 SRI LANKA
015 E040028 X
015 A000029 SKANDINAVISKA ENSKILDA BANKEN
015 B000029 S
015 C010029 STOCKHOLM
015 D010029 SWEDEN
015 E040029 X
015 A000030 CREDIT SUISSE AG
PAGE 6
015 B000030 S
015 C010030 ZURICH
015 D010030 SWITZERLAND
015 E040030 X
015 A000031 THE HONGKONG AND SHANGHAI BANKING CORP LTD
015 B000031 S
015 C010031 BANGKOK
015 D010031 THAILAND
015 E040031 X
015 A000032 THE BANK OF NEW YORK MELLON
015 B000032 S
015 C010032 LONDON
015 D010032 UNITED KINGDOM
015 E040032 X
018 000000 Y
019 A000000 Y
019 B000000 158
019 C000000 FRNKTEMINV
020 A000001 ELECTRONIC SECURITIES PROCESSING (ESP) LLC
020 B000001 13-4087626
020 C000001 5
020 A000002 RAYMOND JAMES & ASSOCIATES INC
020 B000002 59-1237041
020 C000002 3
020 A000003 BARCLAYS CAPITAL INC
020 B000003 06-1031656
020 C000003 2
021 000000 10
022 A000001 CREDIT SUISSE HOLDINGS (USA) INC
022 B000001 13-2853402
022 C000001 7712
022 D000001 1021
022 A000002 MERRILL LYNCH, PIERCE, FENNER & SMITH INC.
022 C000002 6262
022 D000002 2014
022 A000003 DEUTSCHE BANK SECURITIES INC
022 B000003 13-2730828
022 C000003 7156
022 D000003 839
022 A000004 JP MORGAN SECURITIES INC
022 B000004 13-3224016
022 C000004 5471
022 D000004 1330
022 A000005 BANQUE NATIONALE DE PARIS
022 C000005 4628
022 D000005 0

Edgar Filing: FRANKLIN UNIVERSAL TRUST - Form NSAR-A

022 A000006 GOLDMAN, SACHS & CO
022 B000006 13-5108880
022 C000006 1786
022 D000006 2498
022 A000007 CITIGROUP GLOBAL MARKETS INC

PAGE 7

022 B000007 11-2418191
022 C000007 3781
022 D000007 499
022 A000008 HSBC SECURITIES (USA) INC
022 B000008 13-2650272
022 C000008 4182
022 D000008 0
022 A000009 MORGAN STANLEY & CO INC
022 B000009 13-2655998
022 C000009 3089
022 D000009 367
022 A000010 FIRST UNION SECURITIES
022 B000010 94-1693697
022 C000010 1194
022 D000010 1484
023 C000000 48080
023 D000000 13295

024 000000 Y
025 A000001 JP MORGAN SECURITIES INC
025 B000001 13-3224016
025 C000001 D
025 D000001 900

026 A000000 N
026 B000000 Y
026 C000000 Y
026 D000000 Y
026 E000000 N
026 F000000 N
026 G010000 N
026 G020000 N
026 H000000 N
027 000000 N

028 A010000 0
028 A020000 0
028 A030000 0
028 A040000 0
028 B010000 0
028 B020000 0
028 B030000 0
028 B040000 0
028 C010000 0
028 C020000 0
028 C030000 0
028 C040000 0
028 D010000 0
028 D020000 0
028 D030000 0
028 D040000 0
028 E010000 0
028 E020000 0
028 E030000 0

PAGE 8

028 E040000 0
028 F010000 0
028 F020000 0
028 F030000 0

Edgar Filing: FRANKLIN UNIVERSAL TRUST - Form NSAR-A

| | | |
|-----|---------|-------|
| 028 | F040000 | 0 |
| 028 | G010000 | 0 |
| 028 | G020000 | 0 |
| 028 | G030000 | 0 |
| 028 | G040000 | 0 |
| 028 | H000000 | 0 |
| 030 | A000000 | 0 |
| 030 | B000000 | 0.00 |
| 030 | C000000 | 0.00 |
| 031 | A000000 | 0 |
| 031 | B000000 | 0 |
| 032 | 000000 | 0 |
| 033 | 000000 | 0 |
| 035 | 000000 | 0 |
| 036 | B000000 | 0 |
| 038 | 000000 | 0 |
| 042 | A000000 | 0 |
| 042 | B000000 | 0 |
| 042 | C000000 | 0 |
| 042 | D000000 | 0 |
| 042 | E000000 | 0 |
| 042 | F000000 | 0 |
| 042 | G000000 | 0 |
| 042 | H000000 | 0 |
| 043 | 000000 | 0 |
| 044 | 000000 | 0 |
| 045 | 000000 | Y |
| 046 | 000000 | N |
| 047 | 000000 | Y |
| 048 | 000000 | 0.750 |
| 048 | A010000 | 0 |
| 048 | A020000 | 0.000 |
| 048 | B010000 | 0 |
| 048 | B020000 | 0.000 |
| 048 | C010000 | 0 |
| 048 | C020000 | 0.000 |
| 048 | D010000 | 0 |
| 048 | D020000 | 0.000 |
| 048 | E010000 | 0 |
| 048 | E020000 | 0.000 |
| 048 | F010000 | 0 |
| 048 | F020000 | 0.000 |
| 048 | G010000 | 0 |
| 048 | G020000 | 0.000 |
| 048 | H010000 | 0 |
| 048 | H020000 | 0.000 |
| 048 | I010000 | 0 |
| | PAGE | 9 |
| 048 | I020000 | 0.000 |
| 048 | J010000 | 0 |
| 048 | J020000 | 0.000 |
| 048 | K010000 | 0 |
| 048 | K020000 | 0.000 |
| 049 | 000000 | N |
| 050 | 000000 | N |
| 051 | 000000 | N |
| 052 | 000000 | N |
| 053 | A000000 | Y |
| 053 | B000000 | Y |
| 054 | A000000 | Y |
| 054 | B000000 | Y |
| 054 | C000000 | Y |

Edgar Filing: FRANKLIN UNIVERSAL TRUST - Form NSAR-A

054 D000000 N
054 E000000 N
054 F000000 N
054 G000000 Y
054 H000000 Y
054 I000000 N
054 J000000 Y
054 K000000 N
054 L000000 Y
054 M000000 Y
054 N000000 N
054 O000000 Y
055 A000000 N
055 B000000 N
056 000000 Y
057 000000 N
058 A000000 N
059 000000 Y
060 A000000 Y
060 B000000 Y
061 000000 0
062 A000000 Y
062 B000000 0.0
062 C000000 0.0
062 D000000 0.0
062 E000000 0.0
062 F000000 0.0
062 G000000 0.0
062 H000000 0.0
062 I000000 0.0
062 J000000 0.0
062 K000000 0.0
062 L000000 0.0
062 M000000 0.0
062 N000000 0.0
062 O000000 0.0
062 P000000 86.9
PAGE 10
062 Q000000 0.0
062 R000000 40.8
063 A000000 0
063 B000000 2.8
064 A000000 N
064 B000000 N
066 A000000 N
067 000000 Y
068 A000000 N
068 B000000 N
069 000000 N
070 A010000 Y
070 A020000 Y
070 B010000 N
070 B020000 N
070 C010000 N
070 C020000 N
070 D010000 N
070 D020000 N
070 E010000 N
070 E020000 N
070 F010000 N
070 F020000 N
070 G010000 N

Edgar Filing: FRANKLIN UNIVERSAL TRUST - Form NSAR-A

| | | | |
|-------|---------|----|--------|
| 070 | G020000 | N | |
| 070 | H010000 | N | |
| 070 | H020000 | N | |
| 070 | I010000 | N | |
| 070 | I020000 | N | |
| 070 | J010000 | Y | |
| 070 | J020000 | Y | |
| 070 | K010000 | Y | |
| 070 | K020000 | Y | |
| 070 | L010000 | Y | |
| 070 | L020000 | Y | |
| 070 | M010000 | Y | |
| 070 | M020000 | N | |
| 070 | N010000 | Y | |
| 070 | N020000 | N | |
| 070 | O010000 | Y | |
| 070 | O020000 | Y | |
| 070 | P010000 | Y | |
| 070 | P020000 | N | |
| 070 | Q010000 | N | |
| 070 | Q020000 | N | |
| 070 | R010000 | N | |
| 070 | R020000 | N | |
| 071 | A000000 | | 33077 |
| 071 | B000000 | | 21480 |
| 071 | C000000 | | 251856 |
| 071 | D000000 | | 9 |
| | PAGE | 11 | |
| 072 | A000000 | 6 | |
| 072 | B000000 | | 6397 |
| 072 | C000000 | | 1686 |
| 072 | D000000 | | 0 |
| 072 | E000000 | | 0 |
| 072 | F000000 | | 967 |
| 072 | G000000 | | 0 |
| 072 | H000000 | | 0 |
| 072 | I000000 | | 33 |
| 072 | J000000 | | 2 |
| 072 | K000000 | | 7 |
| 072 | L000000 | | 14 |
| 072 | M000000 | | 10 |
| 072 | N000000 | | 0 |
| 072 | O000000 | | 0 |
| 072 | P000000 | | 856 |
| 072 | Q000000 | | 0 |
| 072 | R000000 | | 21 |
| 072 | S000000 | | 10 |
| 072 | T000000 | | 0 |
| 072 | U000000 | | 0 |
| 072 | V000000 | | 0 |
| 072 | W000000 | | 46 |
| 072 | X000000 | | 1966 |
| 072 | Y000000 | | 0 |
| 072 | Z000000 | | 6117 |
| 072AA | 000000 | | 3749 |
| 072BB | 000000 | | 606 |
| 072CC | 010000 | | 10386 |
| 072CC | 020000 | | 0 |
| 072DD | 010000 | | 5919 |
| 072DD | 020000 | | 0 |
| 072EE | 000000 | | 0 |
| 073 | A010000 | | 0.2400 |

Edgar Filing: FRANKLIN UNIVERSAL TRUST - Form NSAR-A

| | | |
|-----|---------|--------------|
| 073 | A020000 | 0.0000 |
| 073 | B000000 | 0.0000 |
| 073 | C000000 | 0.0000 |
| 074 | A000000 | 0 |
| 074 | B000000 | 0 |
| 074 | C000000 | 0 |
| 074 | D000000 | 178115 |
| 074 | E000000 | 721 |
| 074 | F000000 | 81406 |
| 074 | G000000 | 0 |
| 074 | H000000 | 0 |
| 074 | I000000 | 1518 |
| 074 | J000000 | 859 |
| 074 | K000000 | 0 |
| 074 | L000000 | 3862 |
| 074 | M000000 | 115 |
| 074 | N000000 | 266596 |
| | PAGE | 12 |
| 074 | O000000 | 415 |
| 074 | P000000 | 215 |
| 074 | Q000000 | 60000 |
| 074 | R010000 | 0 |
| 074 | R020000 | 0 |
| 074 | R030000 | 0 |
| 074 | R040000 | 1016 |
| 074 | S000000 | 0 |
| 074 | T000000 | 204950 |
| 074 | U010000 | 25132 |
| 074 | U020000 | 0 |
| 074 | V010000 | 8.16 |
| 074 | V020000 | 0.00 |
| 074 | W000000 | 0.0000 |
| 074 | X000000 | 1649 |
| 074 | Y000000 | 36958 |
| 075 | A000000 | 0 |
| 075 | B000000 | 198065 |
| 076 | 000000 | 7.20 |
| 077 | A000000 | N |
| 078 | 000000 | N |
| 080 | C000000 | 0 |
| 081 | B000000 | 0 |
| 082 | B000000 | 0 |
| 083 | B000000 | 0 |
| 084 | B000000 | 0 |
| 086 | A010000 | 0 |
| 086 | A020000 | 0 |
| 086 | B010000 | 0 |
| 086 | B020000 | 0 |
| 086 | C010000 | 0 |
| 086 | C020000 | 0 |
| 086 | D010000 | 0 |
| 086 | D020000 | 0 |
| 086 | E010000 | 0 |
| 086 | E020000 | 0 |
| 086 | F010000 | 0 |
| 086 | F020000 | 0 |
| 087 | A010000 | COMMON STOCK |
| 087 | A020000 | 355145103 |
| 087 | A030000 | FT |
| 088 | A000000 | Y |
| 088 | B000000 | N |
| 088 | C000000 | N |

Edgar Filing: FRANKLIN UNIVERSAL TRUST - Form NSAR-A

088 D000000 N

SIGNATURE KRISTIN CARBONI

TITLE ASSISTANT TREASURER