Higgins Martha Form 4 August 13, 2018

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

0.5

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response...

**OMB APPROVAL** 

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

(Last)

See Instruction

1. Name and Address of Reporting Person \* Higgins Martha

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

**BOSTON PRIVATE FINANCIAL HOLDINGS INC [BPFH]** 

(Check all applicable)

(First) **BOSTON PRIVATE FINANCIAL** 

HOLDINGS, INC, TEN POST

**OFFICE SQUARE** 

3. Date of Earliest Transaction (Month/Day/Year)

08/09/2018

Director 10% Owner X\_ Officer (give title Other (specify

below) EVP, Chief HR Officer

(Middle)

(Street) 4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

BOSTON, MA 02109

(City)	(State) (	(Zip) Table	e I - Non-D	erivative	Secur	ities Acqu	iired, Disposed of	, or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		
Common Stock	08/09/2018		D	1,670 (1)	D	\$0	36,893.899	D	
Common Stock	08/10/2018		F	1,460	D	\$ 14.05	35,433.899	D	
Common Stock	08/10/2018		M	1,891 (2)	A	\$ 14.05	37,324.899	D	
Common Stock	08/10/2018		F	557	D	\$ 14.05	36,767.899	D	

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

D

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number on Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Performance Shares	\$ 0	08/09/2018		D	2,505 (3)	<u>(4)</u>	<u>(4)</u>	Common Stock	9,938
Performance Restricted Stock Units	<u>(5)</u>	08/09/2018		D	4,035 (5)	<u>(6)</u>	<u>(6)</u>	Common Stock	6,872
Restricted Stock Units	<u>(7)</u>	08/09/2018		D	2,691 <u>(7)</u>	<u>(7)</u>	<u>(7)</u>	Common Stock	4,582
Restricted Stock Units	<u>(2)</u>	08/10/2018		M	1,891 (2)	(2)	(2)	Common Stock	1,891

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		

Higgins Martha

BOSTON PRIVATE FINANCIAL HOLDINGS, INC TEN POST OFFICE SQUARE

BOSTON, MA 02109

EVP, Chief HR Officer

## **Signatures**

/s/ Margaret W. Chambers, as attorney-in-fact for Ms.
Higgins

08/13/2018

\*\*Signature of Reporting Person Date

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## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Unvested restricted shares forfeited in connection with 8/10/18 termination date.
- (2) Accelerated vesting of Restricted Stock Units in connection with 8/10/18 termination date.
- (3) Unvested Performance Shares forfeited in connection with 8/10/18 termination date.
- (4) Performance Shares prorated in connection with 8/10/18 termination date
- (5) Performance Restricted Stock Units forfeited in connection with 8/10/18 termination date.
- (6) Performance Restricted Stock Units prorated in connection with 8/10/18 termination date.
- (7) Unvested Restricted Stock Units forfeited in connection with 8/10/18 termination date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.