

COYLE MICHAEL J
Form 4
January 24, 2019

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
COYLE MICHAEL J

2. Issuer Name and Ticker or Trading Symbol
HEARTLAND FINANCIAL USA INC [HTLF]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
1398 CENTRAL AVE
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
01/22/2019

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
EVP Senior General Counsel

DUBUQUE, IA 52001

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	(A) or (D)	5. Amount or Price	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
Common Stock							8,209	D	
Common Stock	01/22/2019		M	625	A	\$ 47.54	8,834	D	
Common Stock	01/22/2019		M	225	A	\$ 47.54	9,059	D	
Common Stock	01/22/2019		M	187	A	\$ 47.54	9,246	D	
Common Stock	01/22/2019		M	140	A	\$ 47.54	9,386	D	

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Common Stock 2,000 I 401K

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
2018 Time-Based Restricted Stock	(1)					(2) (2)	Common Stock	457
2018 Performance Based Restricted Stock (3-year performance)	(1)					(3) (3)	Common Stock	609
2018 Performance Based Restricted Stock (1-year performance)	(1)					(4) (4)	Common Stock	457
2017 Time-Based Restricted Stock	(1)					(5) (5)	Common Stock	436
2017 Time-Based Restricted Stock	(1)	01/22/2019		F	218	(5) (5)	Common Stock	218

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Stock										
2017 Performance Based Restricted Stock (3-year performance)	<u>(1)</u>				<u>(6)</u>	<u>(6)</u>	Common Stock	327		
2017 Performance Based Restricted Stock (1-year performance)	<u>(1)</u>				<u>(7)</u>	<u>(7)</u>	Common Stock	399		
2016 Time-Based Restricted Stock	<u>(1)</u>				<u>(8)</u>	<u>(8)</u>	Common Stock	291		
2016 Time-Based Restricted Stock	<u>(1)</u>	01/22/2019	F	291	<u>(8)</u>	<u>(8)</u>	Common Stock	0	\$	
2016 Performance Based Restricted Stock (3-year performance)	<u>(1)</u>				<u>(9)</u>	<u>(9)</u>	Common Stock	463		
2016 Performance Based Restricted Stock (1-year performance)	<u>(1)</u>				<u>(10)</u>	<u>(10)</u>	Common Stock	972		
2016 Performance Based Restricted Stock (1-year performance)	<u>(1)</u>	01/22/2019	F	972	<u>(10)</u>	<u>(10)</u>	Common Stock	0	\$	
2015 Time-Based Restricted Stock	<u>(1)</u>				<u>(11)</u>	<u>(11)</u>	Common Stock	700		
2015 Time-Based Restricted	<u>(1)</u>	01/22/2019	F	350	<u>(11)</u>	<u>(11)</u>	Common Stock	350	\$	

Stock

2014

Time-Based

Restricted

Stock

(1)

(12)

(12)

Common
Stock

350

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
COYLE MICHAEL J 1398 CENTRAL AVE DUBUQUE, IA 52001			EVP Senior General Counsel	

Signatures

/s/ Michael J.

Coyle

01/24/2019

**Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents a contingent right to receive one share of Issuer's common stock.
- (2) Of these restricted stock units, 1/3 vest on 3-6-2019, 1/3 vest on 3-6-2020, and 1/3 vest on 3-6-2021.
- (3) These restricted stock units vest in 2021 if certain performance measures are achieved by the Issuer.
- (4) These restricted stock units vest on 3-6-2021 if certain performance measures are achieved by the Issuer.
- (5) Of these restricted stock units, 1/3 vest in 01-2018, 1/3 vest in 01-2019 and 1/3 vest in 01-2020.
- (6) These restricted stock units vest in 2020 if certain performance measures are achieved by the Issuer.
- (7) These restricted stock units vest on 1-19-2020 if certain performance measures are achieved by the Issuer.
- (8) Of these restricted stock units, 1/3 vest on 1-19-2017, 1/3 vest on 1-19-2018, and 1/3 vest on 1-19-2019.
- (9) These restricted stock units vest in 2019 if certain performance measures are achieved by the Issuer.
- (10) These restricted stock units vest on 1-19-2019 if certain performance measures are achieved by the Issuer.
- (11) Of these restricted stock units, 1/3 vest on 1-20-2018, 1/3 vest on 1-20-2019, and 1/3 vest on 1-20-2020.
- (12) Of these restricted stock units, 1/3 vest on 3-11-2017, 1/3 vest on 3-11-2018, and 1/3 vest on 3-11-2019.

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